

## General information about company

Scrip code	540679
NSE Symbol	SMSLIFE
MSEI Symbol	NOTLISTED
ISIN	INE320X01016
Name of the company	SMS LIFESCIENCES INDIA LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

## Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	16	2063141			2063141	68.24	2063141		2063141	68.24
(B)	Public	10157	960146			960146	31.76	960146		960146	31.76
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	10173	3023287			3023287	100	3023287		3023287	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				68.24			179662	8.71	2063141
(B)	Public				31.76					948972
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			179662	5.94	3012113

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	13	1776516			1776516	58.76	1776516		1776516	58.76
(d)	Any Other (specify)	3	286625			286625	9.48	286625		286625	9.48
Sub-Total (A) (1)		16	2063141			2063141	68.24	2063141		2063141	68.24
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		16	2063141			2063141	68.24	2063141		2063141	68.24
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	9880	826065			826065	27.32	826065		826065	27.32
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	46000			46000	1.52	46000		46000	1.52
(e)	Any Other (specify)	275	88081			88081	2.91	88081		88081	2.91
Sub-Total (B) (3)		10157	960146			960146	31.76	960146		960146	31.76
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		10157	960146			960146	31.76	960146		960146	31.76
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		10173	3023287			3023287	100	3023287		3023287	100
Total (A+B+C)		10173	3023287			3023287	100	3023287		3023287	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				58.76			72520	4.08	1776516
(d)				9.48			107142	37.38	286625
Sub-Total (A) (1)				68.24			179662	8.71	2063141
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				68.24			179662	8.71	2063141
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
( 3 )	Non-institutions								
(a(i))				27.32					815040
(a(ii))				1.52					46000
(e)				2.91					87932
Sub-Total (B) (3)				31.76					948972
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				31.76					948972
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					3012113
Total (A+B+C )				100			179662	5.94	3012113
Disclosure of notes on shareholding pattern								Textual Information(1)	

## Text Block

Textual Information()

1. Potluri Laboratories Private Limited has acquired 57,700 equity shares of the Company on September 29, 2020 and the same was informed to the Stock Exchange(s) on September 30, 2020, However, the said shares were still under transition as on the quarter ended September 30, 2020 and in the report shared by the depository the said shares were appearing in the name of clearing member [i.e. R L P Securities Private Limited] in the public category. In order to avoid ambiguity, the Company is manually making the corrections by showing the aggregate holding of Potluri Laboratories Private Limited (i.e. 66,000) in this shareholding pattern. 2. Mrs. Satyavani Potluru had transferred her entire holding of 27,023 equity shares (0.89%) to Mr. Trilok Potluri by way of gift on February 20, 2020. Hence, in our Shareholding report for the quarter ended March 31, 2020 we have not included her name in promoters list. However, NSE released a FAQ on shareholding pattern dated July 3, 2020 AS PER NSE FAQ: "Can the name of the promoter be removed from the Shareholding Pattern during the Quarter in case the Shares are transferred/sold? Reply: The name of the promoter can be removed only after seeking approval of Reclassification from the Exchange. Meanwhile Companies are requested to show the promoters/promoter group with nil shareholding till the approval for Reclassification is granted from Exchange." Hence, till the time Company makes formal application to Stock Exchanges for reclassification of shares, we are again including her name into the shareholding pattern (with NIL holding). Request you to take note of the same.

**Individuals/Hindu undivided Family**

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	T V V S N MURTHY (HUF) (Karta - Mr. TVVSN Murthy)	SURESH BABU POTLURI	HIMA BINDU POTLURI	ANNAPURNA TALLURI	TVVSN MURTHY	RAJESWARA RAO GOPINEEDI	VENKATA PRAVEEN TALLURI
PAN (II)	AAAHT5377D	AAAPY9383J	AAOPP2408H	ABIPT9230C	ABKPT0255F	ACPPG5749K	ACRPT0664J
No. of fully paid up equity shares held (IV)	142190	5151	291905	306797	293821	37	46262
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	142190	5151	291905	306797	293821	37	46262
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.7	0.17	9.66	10.15	9.72	0	1.53
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg:X	142190	5151	291905	306797	293821	37	46262
Class eg:y							
Total	142190	5151	291905	306797	293821	37	46262
Total as a % of Total Voting rights	4.7	0.17	9.66	10.15	9.72	0	1.53
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.7	0.17	9.66	10.15	9.72	0	1.53
<b>Number of Locked in shares (XII)</b>							
No. (a)							
As a % of total Shares held (b)							



Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	142190	5151	291905	306797	293821	37	46262
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter	Promoter Group	Promoter Group

**Individuals/Hindu undivided Family**

Serial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	RAMESH BABU POTLURI	HARI KISHORE POTLURI	SUDEEPHI GOPINEEDI	SUKUMARI KONERU	VAMSI KRISHNA POTLURI	TRILOK POTLURI	SATYA VANI POTLURI
PAN (II)	ADFP2514C	AEJPP6013K	AFBPT3797B	AIJPK3578L	AQSPP0412F	AQSPP0471A	AGTPP2595E
No. of fully paid up equity shares held (IV)	460405	15359	62500	714	73234	78141	0
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	460405	15359	62500	714	73234	78141	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.23	0.51	2.07	0.02	2.42	2.58	0
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg:X	460405	15359	62500	714	73234	78141	0
Class eg:y							
Total	460405	15359	62500	714	73234	78141	0
Total as a % of Total Voting rights	15.23	0.51	2.07	0.02	2.42	2.58	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.23	0.51	2.07	0.02	2.42	2.58	0
<b>Number of Locked in shares (XII)</b>							
No. (a)							
As a % of total Shares held (b)							
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>							
No. (a)	0	0	0	0	72520	0	0



## Individuals/Hindu undivided Family

Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1776516
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1776516
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	58.76
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	1776516
Class eg:y	
Total	1776516
Total as a % of Total Voting rights	58.76
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	58.76
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	72520

As a % of total Shares held (b)	4.08
Number of equity shares held in dematerialized form (XIV)	1776516
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

**Any Other (specify)**

Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	POTLURI LABORATORIES PRIVATE LIMITED	POTLURI INFRA PROJECTS LLP	PIXALOT LABS PRIVATE LIMITED	
PAN (II)	AAACH5037P	AASFP4935B	AAICP8147Q	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	66000	213213	7412	286625
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	66000	213213	7412	286625
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.18	7.05	0.25	9.48
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	66000	213213	7412	286625
Class eg:y				
Total	66000	213213	7412	286625
Total as a % of Total Voting rights	2.18	7.05	0.25	9.48
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.18	7.05	0.25	9.48
<b>Number of Locked in shares (XII)</b>				
No. (a)				

As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	107142	0	107142
As a % of total Shares held (b)	0	50.25	0	37.38
Number of equity shares held in dematerialized form (XIV)	66000	213213	7412	286625
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Non-Resident Indian (NRI)	Bodies Corporate	Clearing Members	Trusts	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)						<a href="#">Click here to go back</a>
PAN (II)						Total
No. of the Shareholders (I)	149	69	55	1	1	275
No. of fully paid up equity shares held (IV)	23376	55408	9219	61	17	88081
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	23376	55408	9219	61	17	88081
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.77	1.83	0.3	0	0	2.9
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	23376	55408	9219	61	17	88081
Class eg:y						
Total	23376	55408	9219	61	17	88081
Total as a % of Total Voting rights	0.77	1.83	0.3	0	0	2.9
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.77	1.83	0.3	0	0	2.9
Number of Locked in shares (XII)						



No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	23340	55356	9219	0	17	87932
Reason for not providing PAN						
Reason for not providing PAN						